OUR COMMITMENT OF SERVICES TO YOU

We Promise to:

- Provide a sample Investment Policy Statement for you to review with your legal counsel.
- Provide information and recommendations to your plan trustees with regard to the investment option mix selections and asset allocations.
- Review with your plan trustees any recommended or prudent changes to investment option selections whenever a change is needed and provide them with a report of findings explaining the change recommendations.
- If changes are made to the investment mix or allocations, we will provide appropriate communication materials to your plan participants.
- Schedule a mutually convenient monthly contact with the Plan Representative (HR, Owner, participants, or other contact) to report plan status and discuss any issues.
- Provide a 90-Day investment option check-up that will include a complete analysis of plan investment options; evaluating quantitative and qualitative aspects of your investment option lineup and also provide a review of your asset investment allocation and any rebalancing recommended.
- Continue to increase participation in your plan by your employees using educational means that target employee needs and addresses issues like personalized salary deferral percentages, and asset allocation choices as well as the overall benefits of saving for retirement. We will work with your HR department to educate all eligible employees, meet with trustees to determine educational goals and offer individual assistance to participants as requested.
- Assist your employees with evaluating how retirement assets integrate with their personal assets and individual financial goals.
- Prepare and present a detailed Investment Option Review once every year and arrange a meeting to discuss this review with your plan representative (HR, Owner, or other contact). The detailed evaluation will include assistance in creating strategies and compare benchmarks in order to improve upon the performance of the overall plan.